

by business type and current status

ADS SECURITIES LONDON LIMITED

Status: Authorised
(Reference number: 577453)

This is a firm that is given permission to provide regulated products and services

 [The Financial Ombudsman Service](#) may be able to consider a dispute with this firm. Find out [how to complain](#).

 [The Financial Services Compensation Scheme \(FSCS\)](#) may be able to compensate customers if this firm fails. See [how to claim compensation](#).

 [Read about how this firm may be able to hold or control money from its customers](#)
Warning / Cloned firms

Individuals are using the details of this firm to suggest they work for the genuine firm. We call this a 'cloned firm' and it is typically part of a scam.

 To contact the genuine firm you should call the switchboard number listed on the Register – and [contact us](#) if this is not provided. Find out more about the clone firm(s):

[Global Marketing Online Limited / ADS Securities](#).

Report a scam or firm

 See [what to do if you have been scammed](#) or think you have been contacted by fraudsters.

Contact us

 Contact the [FCA](#) for firm or consumer queries and Register help.

 Contact the [PRA](#) for prudential queries about banks, building societies, credit unions, insurance firms.

Contact Details

Principal place of business

| | | | |
|-----------------|---|-----------------|---|
| Address: | 9th Floor 125 Old Broad Street London EC2N 1AR UNITED KINGDOM | Phone: | 44 2037715500 |
| | | Fax: | +44 |
| | | Email: | |
| | | Website: | https://www.adsprime.co.uk/ |

Complaints

| | | | |
|---------------------|---|-----------------|-------------------------------|
| Contact Name | Simon Kinnear | Phone: | 44 2037715520 |
| Address: | 9th Floor 125 Old Broad Street London EC2N 1AR UNITED KINGDOM | Fax: | +44 |
| | | Email: | skinnear@ads-securities.co.uk |
| | | Website: | |

Basic Details

Type
Regulated

Companies House Number This is a unique number given to a UK company or limited liability partnership
07785265

Current status

Authorised A firm that is given permission to provide regulated products and services.

Effective Date This is the date from which the Current Status has applied.
24/07/2012

Tied Agent A tied agent can act on behalf of another firm or individual (its principal) that is regulated in another country in the European Economic Area (EEA).
This firm is not a tied agent.

Money Laundering Certain firms must protect themselves against money laundering and comply with the Money Laundering Regulations of 2007
This firm is not registered under the Money Laundering Regulations.

Insurance Mediation A firm that offers or sells insurance products and services
This firm is unable to undertake insurance mediation.

Regulators

This table shows the former and current regulators of this firm, in the UK and in other European Economic Area (EEA).

| Regulator Name | Effective From | Effective To |
|------------------------------|----------------|--------------|
| Financial Conduct Authority | 01/04/2013 | |
| Financial Services Authority | 14/06/2012 | 31/03/2013 |

Individuals

Permission

An authorised firm will have permission to provide certain regulated products and services.

Client Money

 This firm must protect the money it holds and/or controls on behalf of customers. It cannot lend this money or use it to finance its own business.

Specific requirements may change this firm's ability to hold and/or control client money – see below for details.

Requirements

Requirements are rules placed on the firm that apply to all of the financial services activities that it can operate.

This firm has no requirements

Agreeing to carry on a regulated activity

Limitation

Limited to carry on regulated activities.

Arranging (bringing about) deals in investments

Customer Type

 Eligible Counterparty
Professional
Retail (Investment)

Investment Type

 Certificates representing certain security
Commodity Future
Commodity option and option on commodity future
Contract for Differences (excluding a spread bet and a rolling spot forex contract)
Debenture
Future (excluding a commodity future and a rolling spot forex contract)
Government and public security
Option (excluding a commodity option and an option on a commodity future)
Rights to or interests in investments (Contractually Based Investments)
Rights to or interests in investments (Security)
Rolling spot forex contract
Share
Spread Bet
Unit
Warrant

Limitation

Rights to or interests in (both).

Arranging safeguarding and administration of assets

Customer Type

 Eligible Counterparty
Professional
Retail (Investment)

Investment Type

 Certificates representing certain security
Commodity Future
Commodity option and option on commodity future
Contract for Differences (excluding a spread bet and a rolling spot forex contract)
Debenture
Future (excluding a commodity future and a rolling spot forex contract)
Government and public security
Option (excluding a commodity option and an option on a commodity future)
Rights to or interests in investments (Contractually Based Investments)
Rights to or interests in investments (Security)
Rolling spot forex contract
Share
Spread Bet
Unit
Warrant

Limitation

Rights to or interests in (both).

Dealing in investments as agent

Customer Type

 Eligible Counterparty
Professional
Retail (Investment)

Investment Type

 Certificates representing certain security
Commodity Future
Commodity option and option on commodity future
Contract for Differences (excluding a spread bet and a rolling spot forex contract)
Debenture
Future (excluding a commodity future and a rolling spot forex contract)
Government and public security
Option (excluding a commodity option and an option on a commodity future)
Rights to or interests in investments (Contractually Based Investments)
Rights to or interests in investments (Security)
Rolling spot forex contract
Share
Spread Bet
Unit
Warrant

Limitation

Rights to or interests in (both).

Dealing in investments as principal

Customer Type

 Eligible Counterparty
Professional
Retail (Investment)

Investment Type

 Certificates representing certain security
Commodity Future
Commodity option and option on commodity future
Contract for Differences (excluding a spread bet and a rolling spot forex contract)
Debenture
Future (excluding a commodity future and a rolling spot forex contract)
Government and public security
Option (excluding a commodity option and an option on a commodity future)
Rights to or interests in investments (Contractually Based Investments)
Rights to or interests in investments (Security)
Rolling spot forex contract
Share
Spread Bet
Unit
Warrant

Limitation

Limited Licence - matched principal broker

Rights to or interests in (both).

Making arrangements with a view to transactions in investments

Customer Type

 Eligible Counterparty
Professional
Retail (Investment)

Investment Type

 Certificates representing certain security
Commodity Future
Commodity option and option on commodity future
Contract for Differences (excluding a spread bet and a rolling spot forex contract)
Debenture
Future (excluding a commodity future and a rolling spot forex contract)
Government and public security
Option (excluding a commodity option and an option on a commodity future)
Rights to or interests in investments (Contractually Based Investments)
Rights to or interests in investments (Security)
Rolling spot forex contract
Share
Spread Bet
Unit
Warrant

Limitation

Rights to or interests in (both).

Safeguarding and administration of assets (without arranging)

Customer Type

 Eligible Counterparty
Professional
Retail (Investment)

Investment Type

 Certificates representing certain security
Commodity Future
Commodity option and option on commodity future
Contract for Differences (excluding a spread bet and a rolling spot forex contract)
Debenture
Future (excluding a commodity future and a rolling spot forex contract)
Government and public security
Option (excluding a commodity option and an option on a commodity future)
Rights to or interests in investments (Contractually Based Investments)
Rights to or interests in investments (Security)
Rolling spot forex contract
Share
Spread Bet
Unit
Warrant

Limitation

Rights to or interests in (both).

Sending dematerialised instructions

Customer Type

 Eligible Counterparty
Professional
Retail (Investment)

Investment Type

 Certificates representing certain security
Debenture
Government and public security
Option (excluding a commodity option and an option on a commodity future)
Rights to or interests in investments (Contractually Based Investments)
Rights to or interests in investments (Security)
Share
Unit
Warrant

Limitation

Rights to or interests in (both).

Passport Out

Trading/brand names

A firm may use several trading names or brand names under the same permission. This list shows the names that have been reported to us, but you may want to contact the firm for full details

Current names:

| Status | Name | Effective From |
|------------|-------------------------------|----------------|
| Registered | ADS SECURITIES LONDON LIMITED | 15/09/2014 |

Previous names:

Exclusions